

# Autoimmune phenotypes in psychiatric disorders

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I have no disclosures to report.

## Learning Objectives

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- Overall, to understand how autoimmunity might contribute to psychiatric disorder diagnoses and/or symptoms
- Specifically, to review the evidence that imbalances of the gut-brain axis cause these autoimmune phenotypes in individuals with psychiatric disorders
- To identify gut and/or brain autoimmune triggers that might lead to the discovery of treatable therapeutic targets

## Overview of the current state of schizophrenia & psychosis research

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- The development of dopamine-centric antipsychotics has been followed by a stagnant phase of little progress in terms of finding new types of treatments for people with schizophrenia.
- The most promising research theme in schizophrenia involves the immune system which reconciles gene-by-environmental hypotheses for this disorder.

**autoimmune** [aw-toh-i-moon]

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adjective

1. of or relating to the immune response of an organism against any of its own tissues, cells, or cell components
2. reflects the inability of a host to differentiate self from non-self
3. usually due to insufficient establishment or loss of immune tolerance

## Common features of autoimmune disorders

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- Infection is a major trigger of autoimmunity causing:
  - loss of tolerance through polyclonal autoreactive T cells
  - molecular mimicry
  - uncovering cryptic antigens
  - creation of novel self-reactive antigens
- Autoimmune conditions characterized by chronic inflammation, autoantibodies, & tissue injury (from immune complexes & these ICs activate complement)

# Autoimmune disorders in schizophrenia

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Strong epidemiological associations of autoimmune disorders with psychiatric disorders in large registry-based studies.

	Epidemiology	Mechanisms			
	Autoimmune Disorders	Psychotic Disorders	Low level inflammation	Infection/Pathogen exposures	Autoantibodies (brain-reactive)
Autoimmune Disorders	4%	3.4%	YES	YES	YES
Psychotic Disorders	6-55%	1%	YES	YES	YES

Also associated autoimmune/schizophrenia risk elevated across families (Jeppesen & Benros, 2019)

# Autoantibodies & neuropsychiatric problems

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Autoimmune Encephalitis

anti-N-methyl-D-aspartate receptor (NMDAR), neuronal surface antibodies

Autoimmune Thyroid Disorders

anti-thyroid antibodies

Celiac disease

anti-gliadin, tissue transglutaminase, endomysial, reticulin IgA antibodies

Crohn's disease

anti-*Saccharomyces cerevisiae*, flagellin antibodies

Diabetes Type I

anti-glutamic acid decarboxylase (GAD) antibodies

Lupus

anti-GAD, NMDAR antibodies

Multiple sclerosis

anti-CNS intrathecal TcF, B cell depletion, anti-CD20 antibodies

(Jeppesen & Benros, 2019)

# Pathogen exposures & risk for schizophrenia

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Environmental exposures - pathogens & infections

(many decades of evidence; reviewed by Severance & Yolken, 2020)

Associated with diagnosis, symptoms, structural loss

- Viral pathogens
  - herpesviruses
  - coronaviruses
  - influenza viruses
  - endogenous retroviruses
- *Toxoplasma gondii*
- *Candida* yeast infections
- Gut microbe dysbiosis

# Inflammation, autoimmunity & the complement system

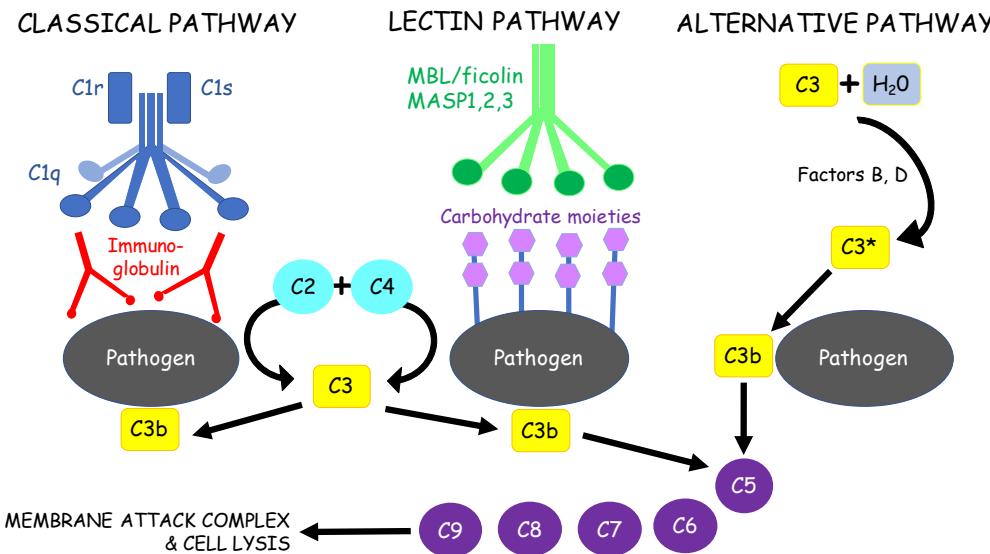
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- The best-studied main function of the complement system is to detect & eliminate pathogens.
- When dysregulated, the complement system causes cellular and tissue damage through inflammation.
- Dysregulated complement is a key component of numerous autoimmune disorders including systemic lupus erythematosus, vasculitis, Sjögren's syndrome, and rheumatoid arthritis.
- Complement deficiencies are thought to increase the risk of developing autoimmune disorders.
- These deficiencies may be treatable by inhibiting complement activation components, complement receptors, and membrane attack complex. (Ballanti et al, 2013)

# Complement, autoimmunity & the brain

## Peripheral Complement

Nimgaonkar et al, 2017

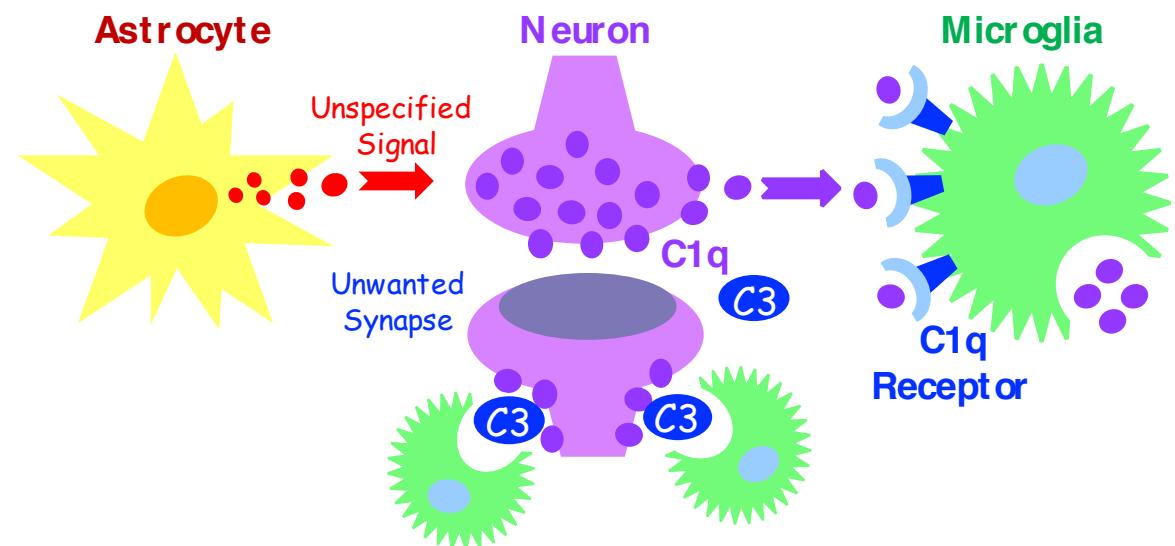


Peripherally, complement acts in:

- immune surveillance
- protection of host
- neurogenesis?
- synapse pruning?

## Complement in the CNS

Based on Stevens et al, 2012 & Sekar et al, 2016



In the brain, complement acts in:

- immune surveillance
- protection of host

# Gene-by-environmental immune interactions in schizophrenia

Environmental exposures - infections  
(many decades of evidence)

Associated with diagnosis, symptoms,  
structural loss

- Viral pathogens
  - herpesviruses
  - coronaviruses
  - influenza viruses
  - endogenous retroviruses
- Toxoplasma gondii
- Candida yeast infections



Immune gene mutations  
(last decades)

6p21-6p22 chromosomal region  
MHC/HLA & Complement C4 genes

## FUNCTION:

- present antigens as self or non-self
- clear invaders & debris
- systemically & in the brain!!!

## DYSFUNCTION:

- infectious disease susceptibility
- autoimmunity

# What is the source of this autoimmunity in schizophrenia?

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Why not check out the largest immune organ in the body -  
the gastrointestinal (GI) mucosa?

- The GI tract serves as a critical hub regulating self and non-self interactions via the gut-associated lymphoid tissue (GALT).
- The GALT conveys immune tolerance to the complex community of commensal microbes, externally-derived dietary products, and the host's own cellular machinery, while simultaneously protecting the body from destructive pathogens and other dangerous antigens.
- Gut microbes and their products, when functioning correctly, control the immune response and inflammatory status of the GI tract.

The gut-brain axis when dysregulated can generate potent pathological autoimmunity.

# Why does the gut matter in schizophrenia & psychoses?



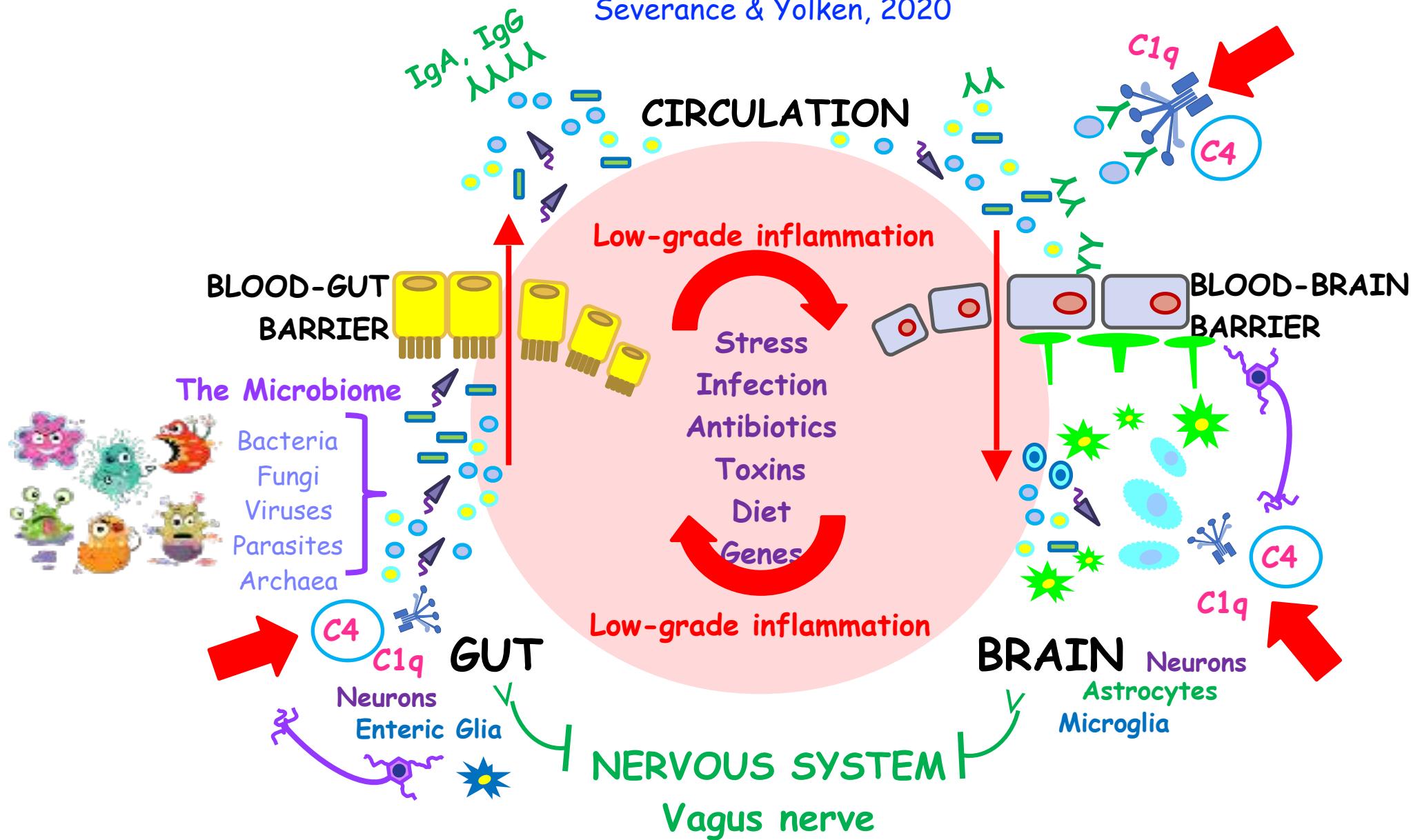
- GI disorders (IBD, IBS, Celiac disease) are prevalent in schizophrenia with estimates ranging from 30 to 90%.
- Psychiatric comorbidities are prevalent in IBD, IBS & Celiac disease with estimates ranging from 20 to 94%.
- GI inflammation, compromised blood-gut & blood-brain barrier, & dysbiotic gut microbiome are all prevalent in schizophrenia.
- Recorded GI conditions in schizophrenia predate DSM, ICD & the 1950's development of antipsychotics.
- Important source of neurotransmitters (serotonin, GABA, tryptophan, norepinephrine, epinephrine, dopamine)
- Provides exchange of other neuroactive molecules (immunomodulatory, antimicrobial neuropeptides)
- Gut bacteria are easily accessed and can be altered through anti-infective agents, anti-inflammatories, gut dysbiosis correction such as probiotics, prebiotics, diet and fecal transplants.

# Why does the gut matter in schizophrenia & psychoses?

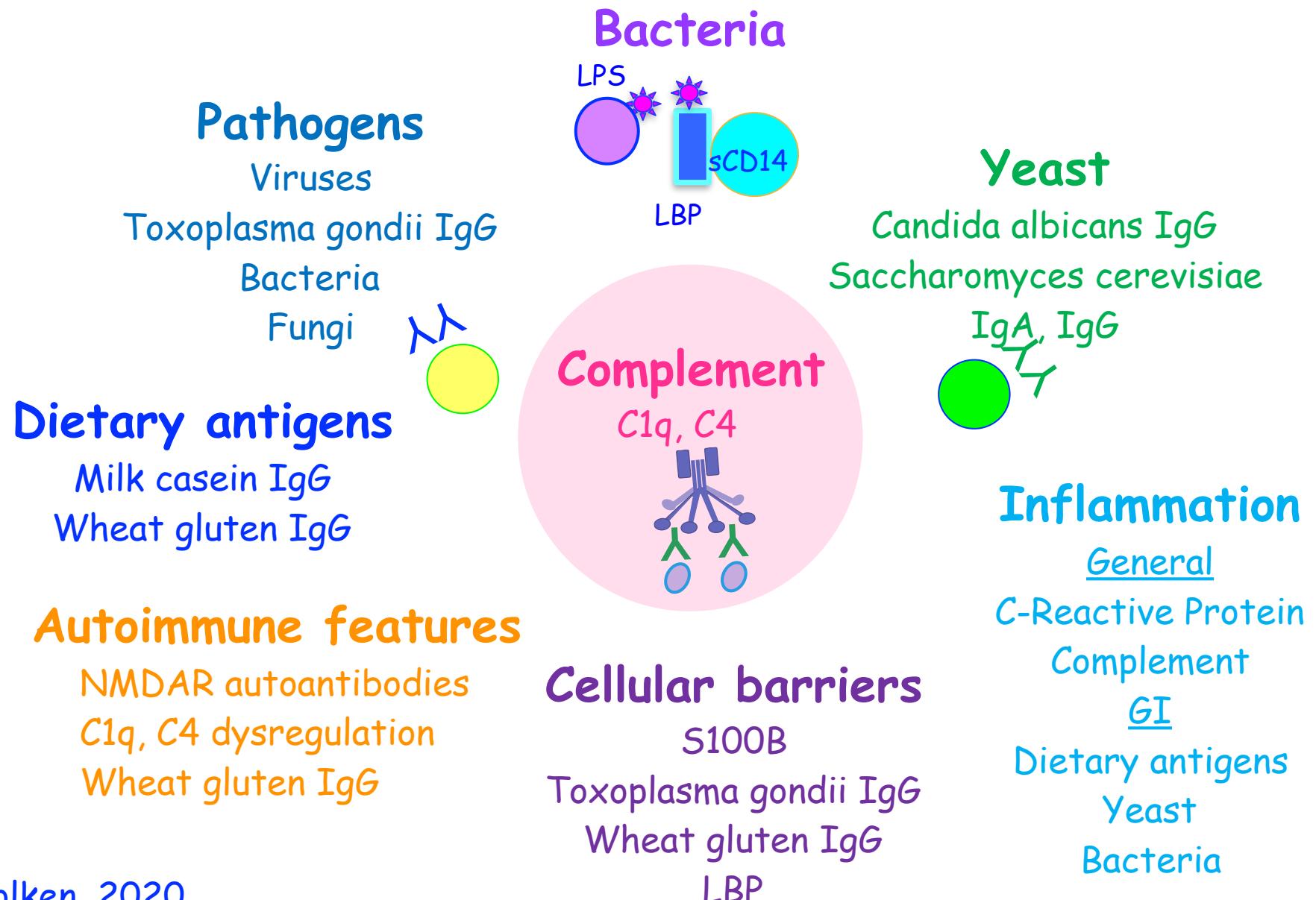
## References for previous slide

- Epidemiology & general (Bernstein et al 2019; Buscaino 1953; Gupta et al 1997; Lee et al 2015; Wei & Hemmings 2005; Whitehead et al 2002)
- Low-level inflammation (Müller 2018; Bechter 2013; Severance et al 2012)
- Leaky gut pathology (Severance et al 2012; 2013; 2019)
- Links to pathogens (esp Toxoplasma gondii, a gut pathogen) (Burgdorf et al 2019; Torrey et al 2012)
- Links to food antigens (Casella et al 2011; Dohan 1966a,b; Severance et al 2010)
- Disease-associated shifts in microbial inventories (Castro-Nallar et al 2015; Yolken et al 2015; Schwarz et al 2018; Nguyen et al 20109; Zheng et al 2019)
- Modest improvements of symptoms with probiotics (Dickerson et al 2014; Tomasik et al 2015; Severance et al 2017; Ng et al 2019; Genedi et al 2019; Okubo et al 2019)

# Gut-immune-brain model in schizophrenia



# Gut-brain serum/plasma biomarkers in schizophrenia



## Research Stories

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1. Biomarkers detect elevated food antigen sensitivities, GI inflammation & complement activation in psychiatric disorders compared to controls

# Serum Biomarker Discovery Methods

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Patient population: Blood samples from ongoing studies at Sheppard Pratt Health System, Baltimore, MD, U.S.A.

(n=900+ established schizophrenia, n=500+ bipolar disorder, n=500+ controls)

Blood biomarkers:

Complement pathway components

Dietary antigens

Yeast translocation

Bacterial translocation

Endothelial barrier instability

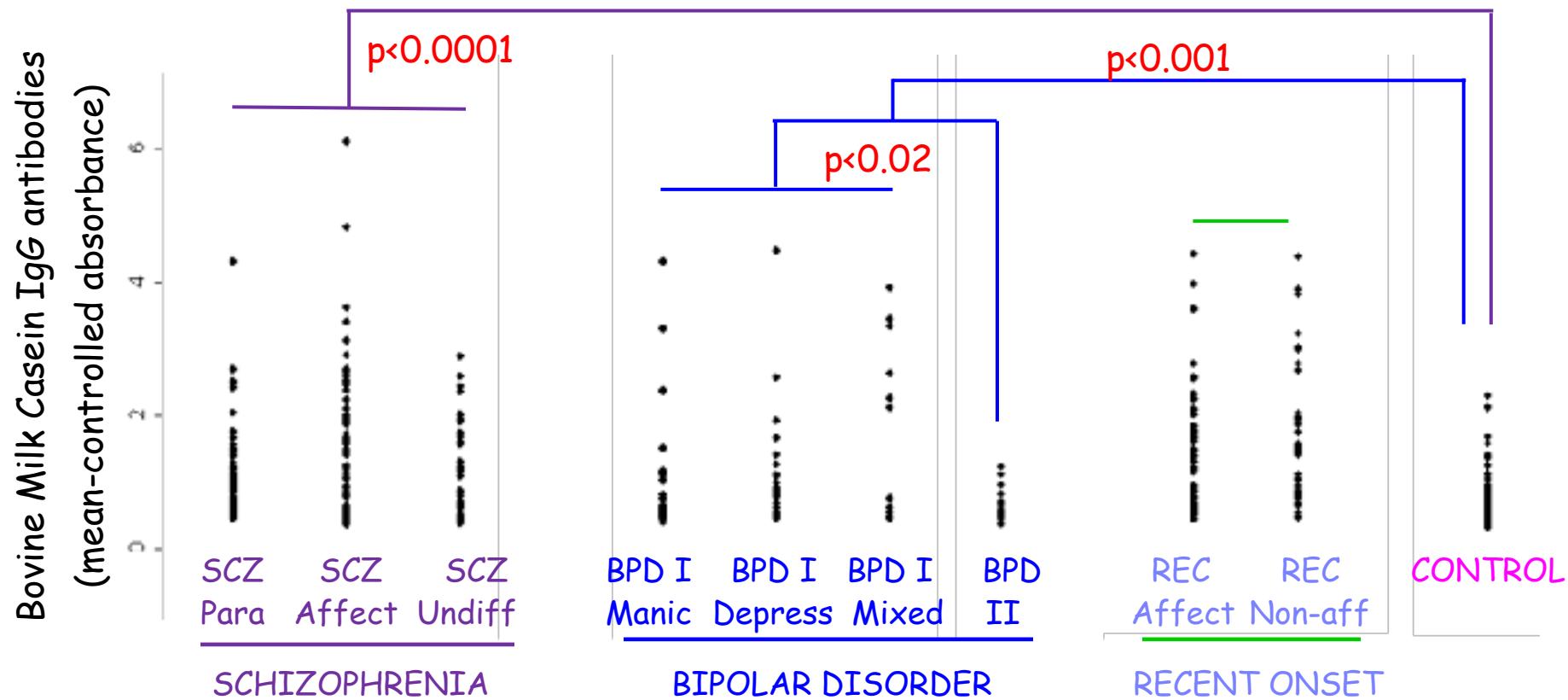
Inflammation

Hamilton Depression Rating Scale for depression, psychic anxiety & somatic anxiety  
Autoimmune features

RBANS scores for cognition; PANSS scores for psychiatric symptoms

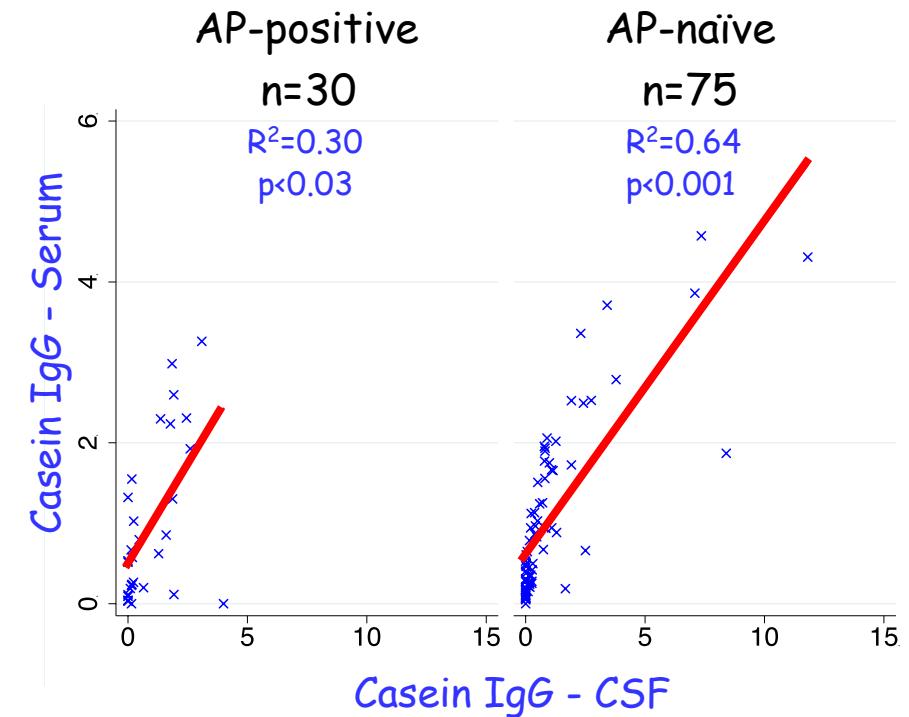
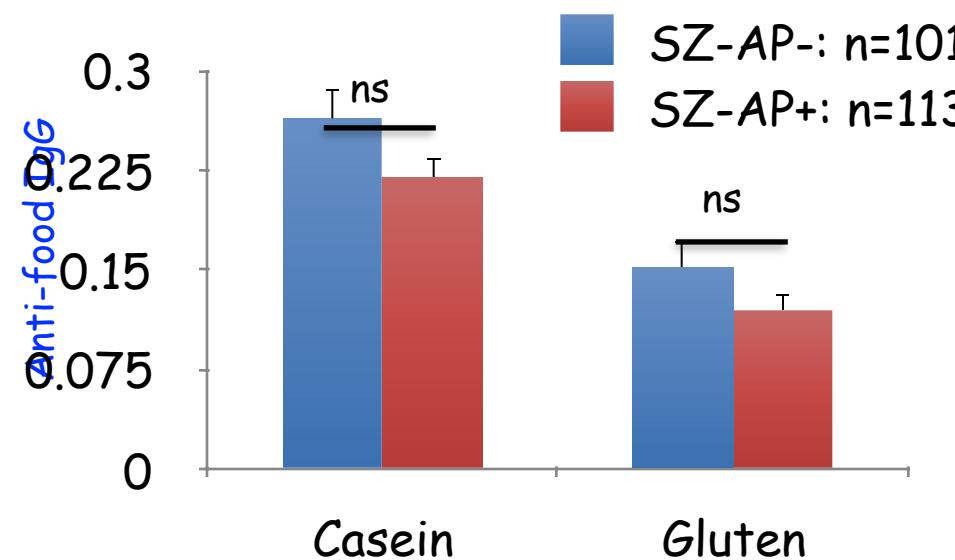
# Dietary antigens, inflammation & the gut

Anti-food antibodies were elevated in SCH & BPD compared to controls and in BPD I subtypes compared to BPD II subtypes.



# Medication effects?

Disease associated anti-food antibodies are not a function of medication.



Same patterns for anti-fungal IgG (*S. cerevisiae* & *C. albicans*)

# Refining a responsive phenotype (for clinical trials)

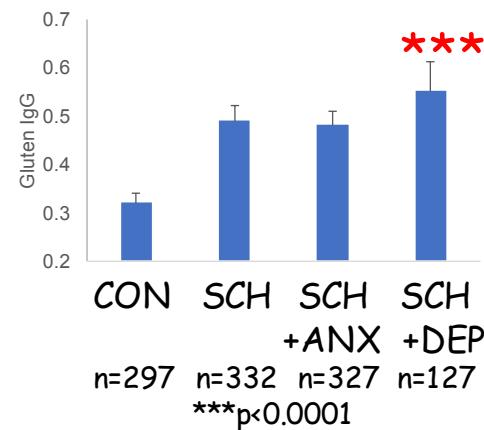
Schizophrenia + Comorbid Anxiety & Depression

Dietary antigens

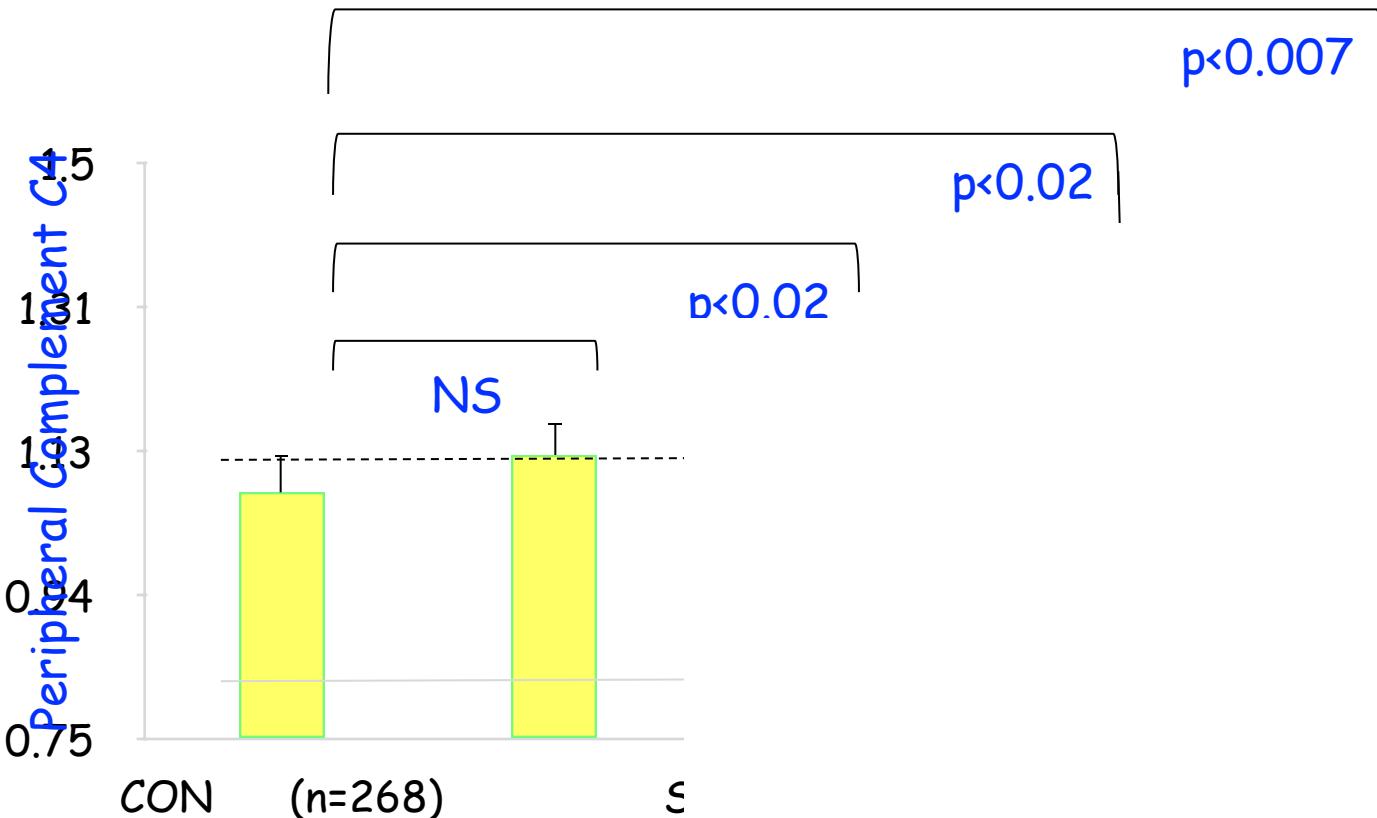
Wheat gluten IgG



Gluten IgG (gut)



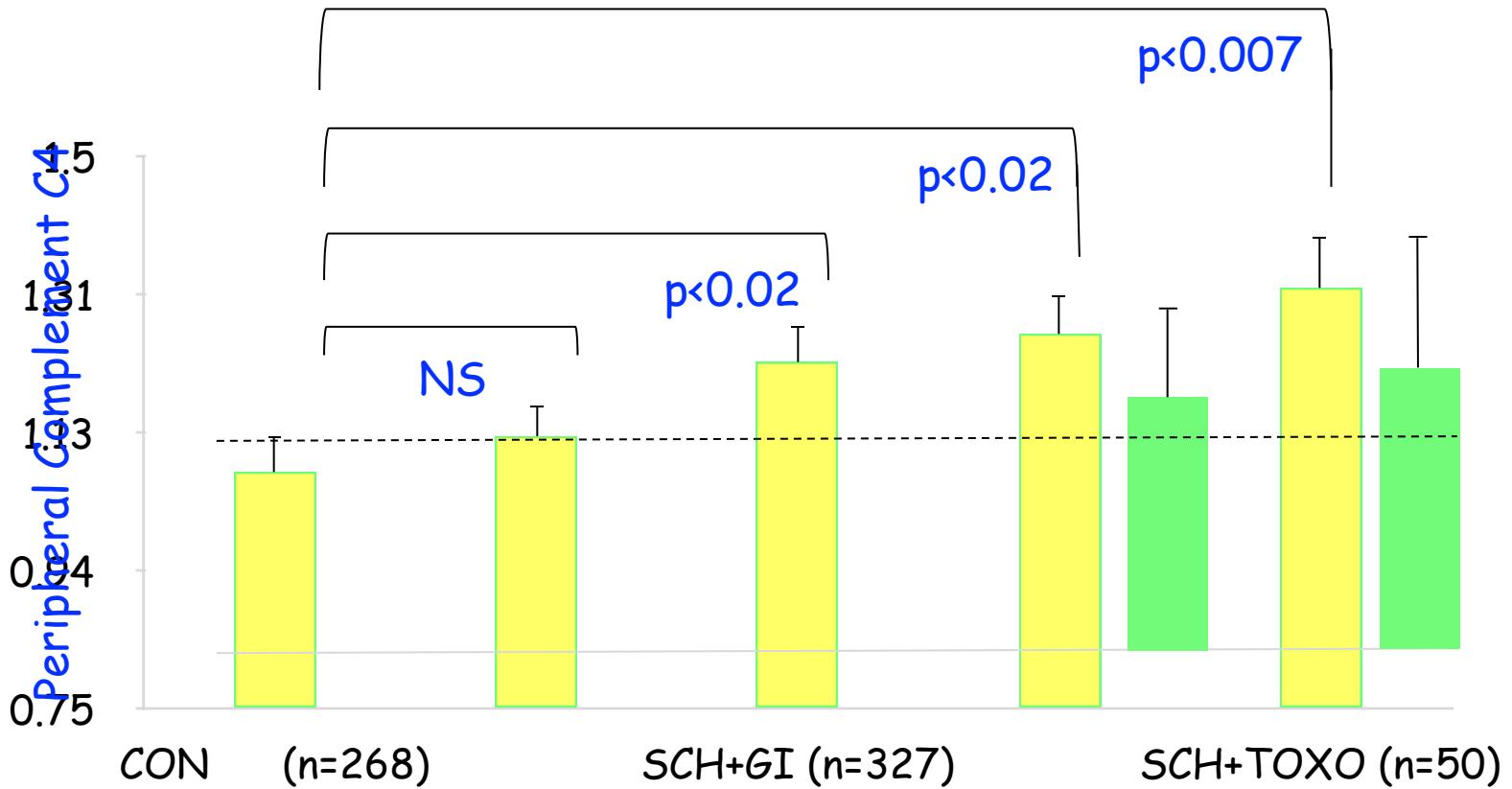
# Differences in plasma complement C4 protein in schizophrenia vs non-psychiatric comparison group?



CON = non-psychiatric comparison group

Severance et al., Unpublished

# Differences in plasma complement C4 protein in schizophrenia vs non-psychiatric comparison group?



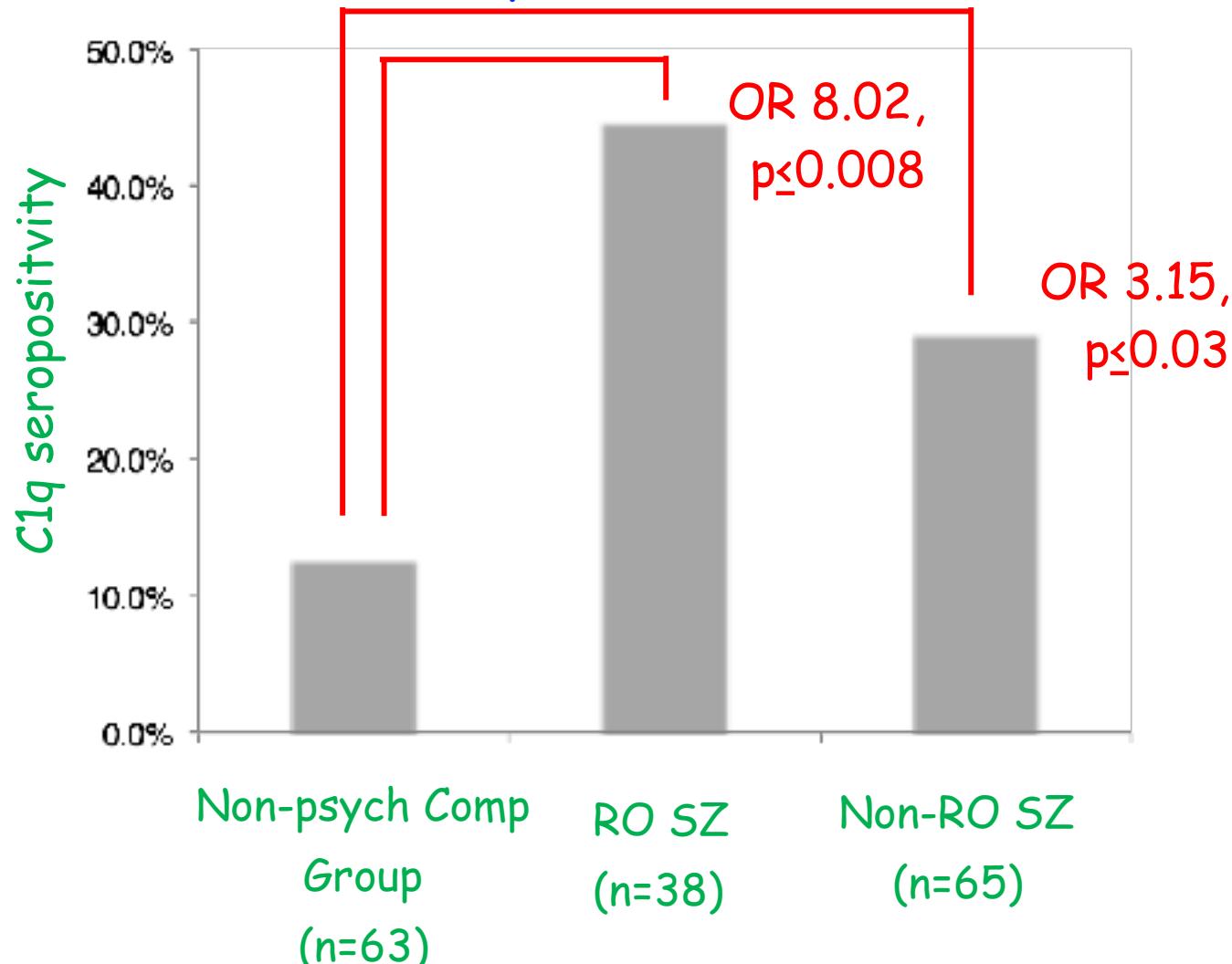
CON = non-psychiatric comparison group

Severance et al., Unpublished

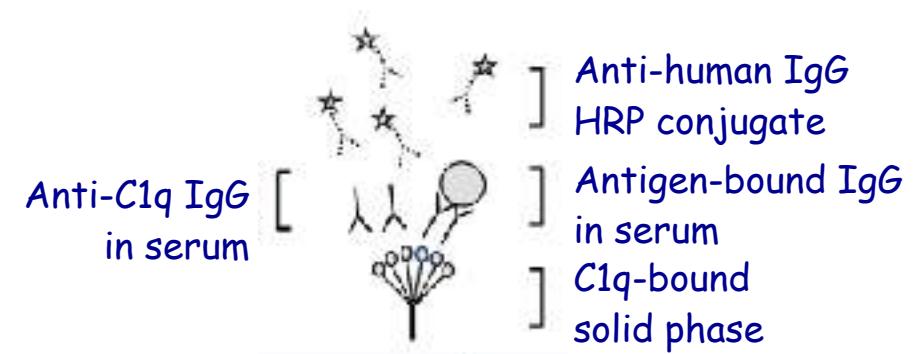
CON+CAND (n=33)    CON+TOXO (n=14)

# Peripheral C1q-containing immune complexes

Recent-onset & non-recent onset  
schizophrenia



C1q immune complexes &  
autoantibodies:



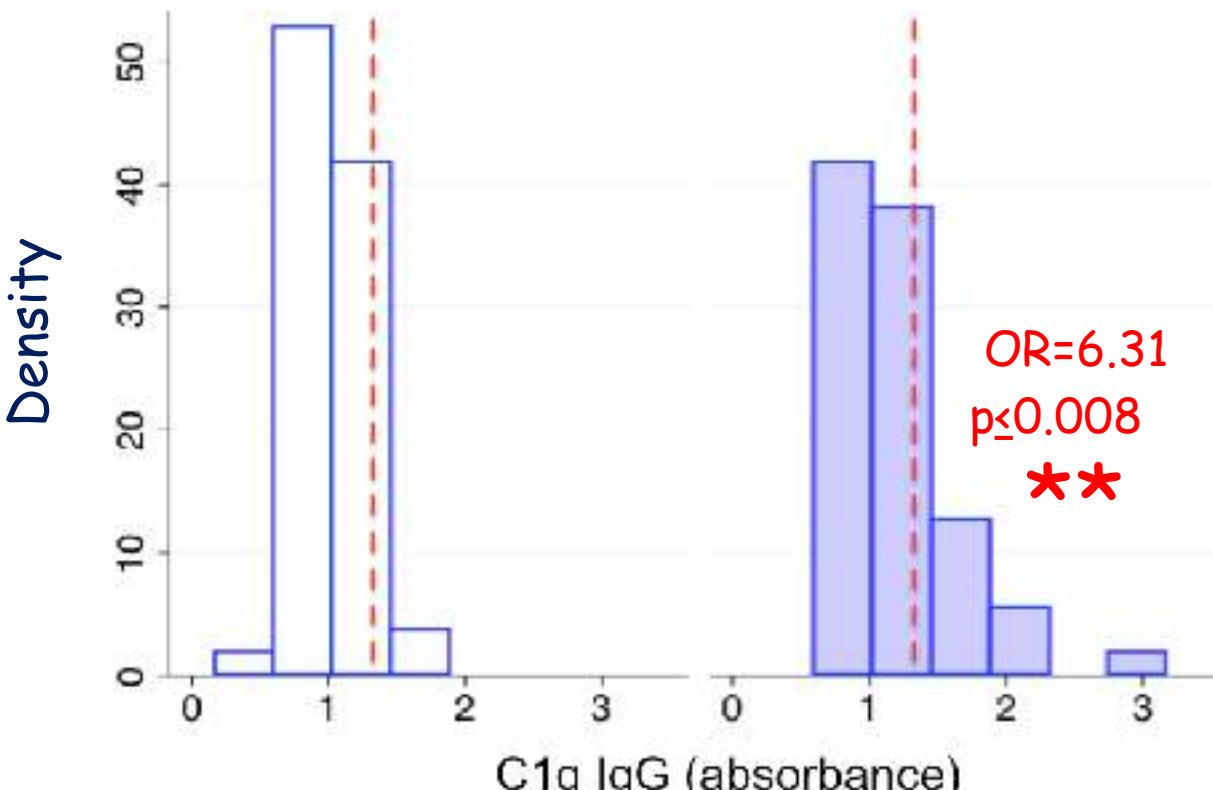
Supports our findings

Severance et al., 2012b

# Maternal complement activation & schizophrenia/psychoses

National Collaborative  
Perinatal Project

NP Mothers



n= 55 matched pairs

Antigens associated with  
maternal C1q:

- gluten
- HSV2
- adenovirus

Severance et al., 2014

## Research Stories

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- ▶ 1. Biomarkers detect elevated food antigen sensitivities, GI inflammation & complement activation in psychiatric disorders compared to controls
- ▶ 2. Translational study of infection in mice and schizophrenia reveals unfolding of Gut-immune-brain model:
  - GI inflammation leads to loss of endothelial barrier integrity, and generation of brain-active NMDA receptor autoantibodies
  - GI inflammation leads to activation of the complement pathway peripherally and in the brain.
- 3. Specific complement C4 genotypes predispose certain individuals with schizophrenia to a susceptibility to infections and gut dysbiosis

## Toxoplasma gondii, a common parasite & cellular barrier breaker

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- T. gondii is found worldwide, infects warm-blooded animals & transmits via ingestion.
- From the gut, T. gondii causes inflammation & disseminates throughout the body including to the brain.
- Hosts infected with this parasite can display altered behaviors & cognitive deficits.
- Numerous investigations demonstrate that T. gondii infection increases the risk for schizophrenia.

(Burgdorf et al 2019; Severance et al 2018; Torrey et al 2012).

# Study Objectives & Design

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## MICE

Evaluate histochemical & blood biomarker evidence of blood-gut & blood-brain barrier permeability in a *T. gondii* model of infection

*T. gondii* model of infection; male BALB/c; n=4-8 per group

Cohort 1: Time series; 2,4,6,8,12,16,20 wpi; *T. gondii* (PRU, ME49)

Cohort 2: Live parasite vs UV-inactivated; 19 wpi

## HUMANS

Validate mouse findings in populations of individuals with schizophrenia & a non-psychiatric comparison group

Identify *T. gondii* seropositive individuals in:

Cohort 1: n=602 established schizophrenia

n=297 controls

Sheppard Pratt Health System, Baltimore, MD, U.S.A.

Cohort 2: n=66 antipsychotic-naïve schizophrenia

n=57 medicated schizophrenia

Universities of Cologne, Germany & Sydney, Australia

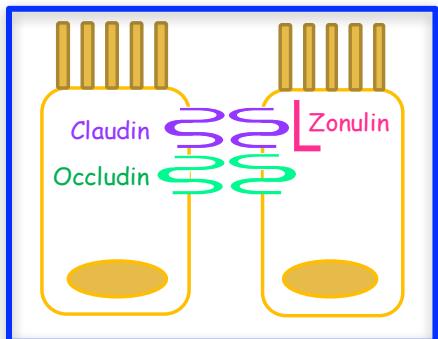
# Part 1

## MICE

Evaluate histochemical & blood biomarker evidence of blood-gut & blood-brain barrier permeability in a *T. gondii* model of infection

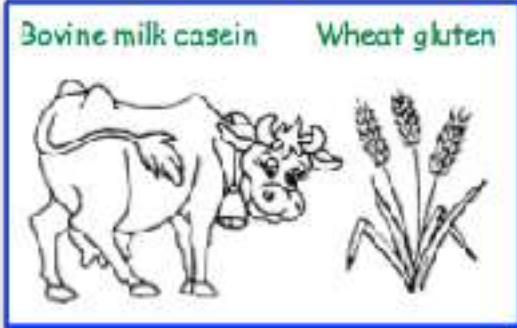
### GI permeability

Tight Junction Proteins



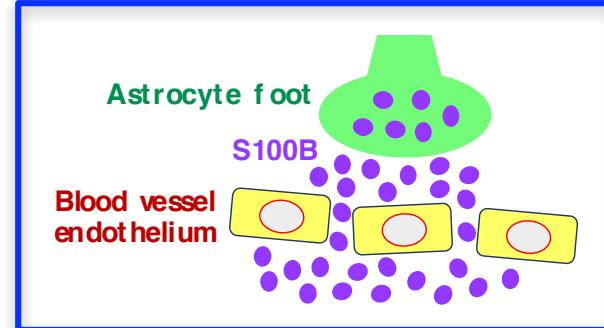
### GI inflammation

Anti-gluten grain IgG



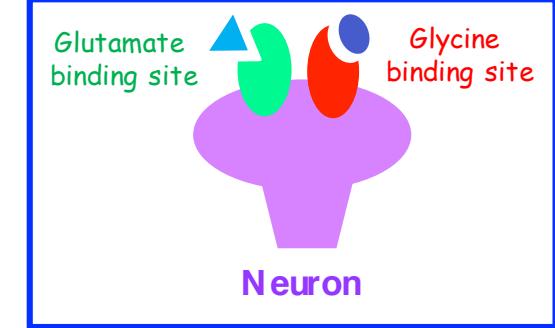
### BBB permeability

S100B



### Autoimmunity

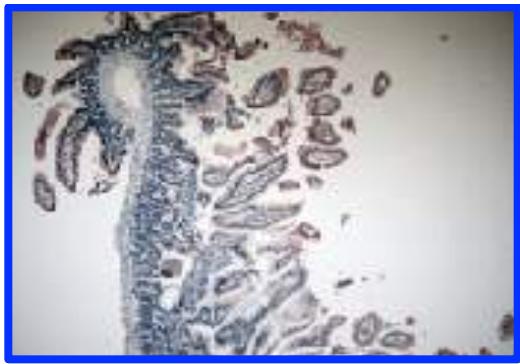
NMDAR IgG



# T. gondii infection & gut tight junction protein stability

## DAB immunohistochemistry

Uninfected



Infected

↓ Claudin-1 expression



↑ Zonulin expression

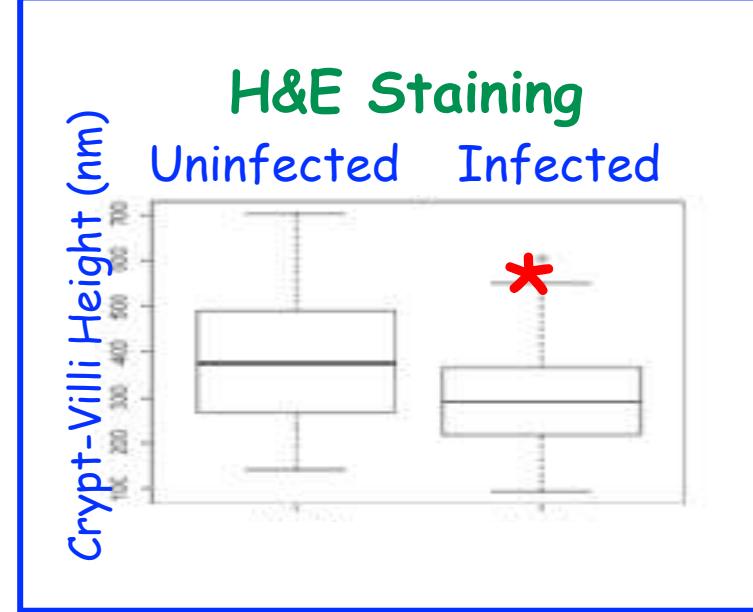


↓ Zonulin expression

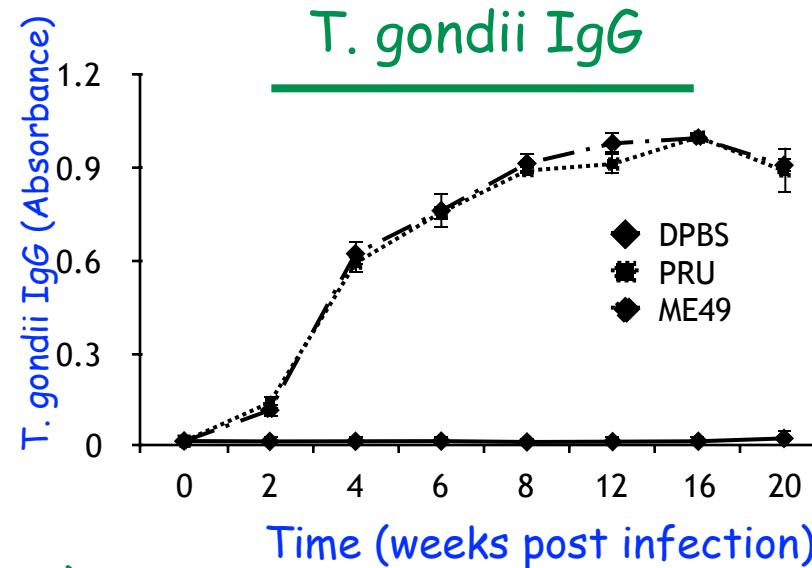


## H&E Staining

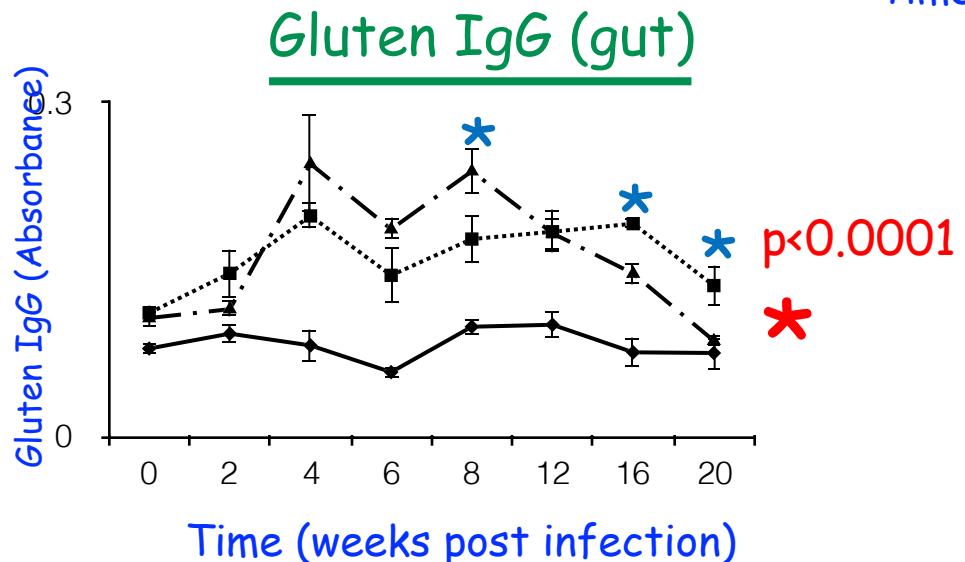
Uninfected      Infected



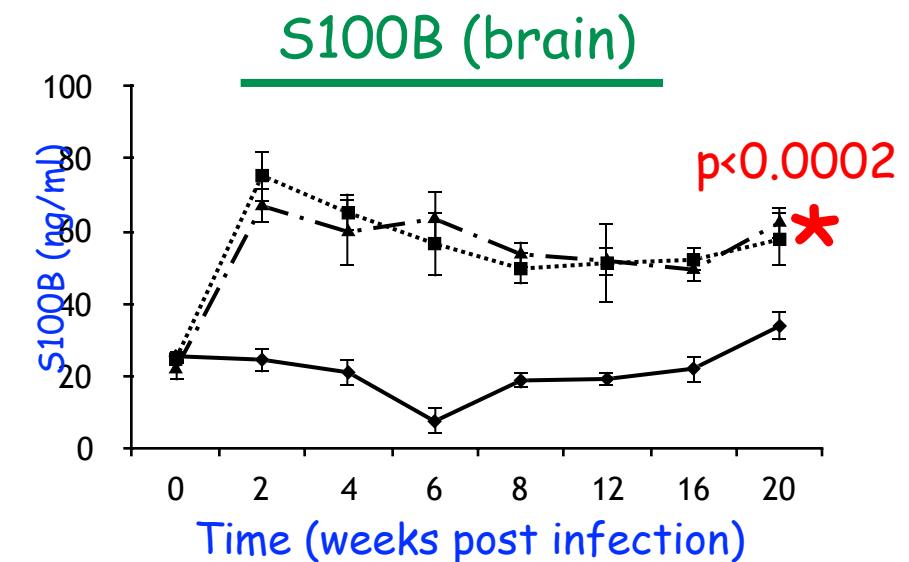
# *T. gondii* infection & blood barrier biomarkers



\* Interaction Time & Infection  
p<0.0001

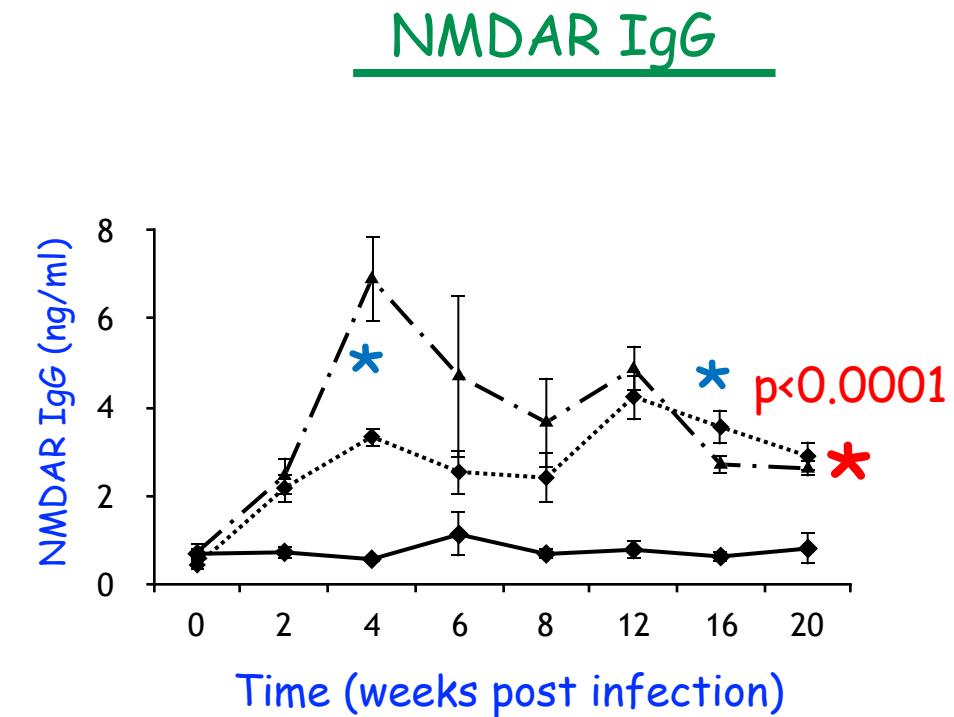
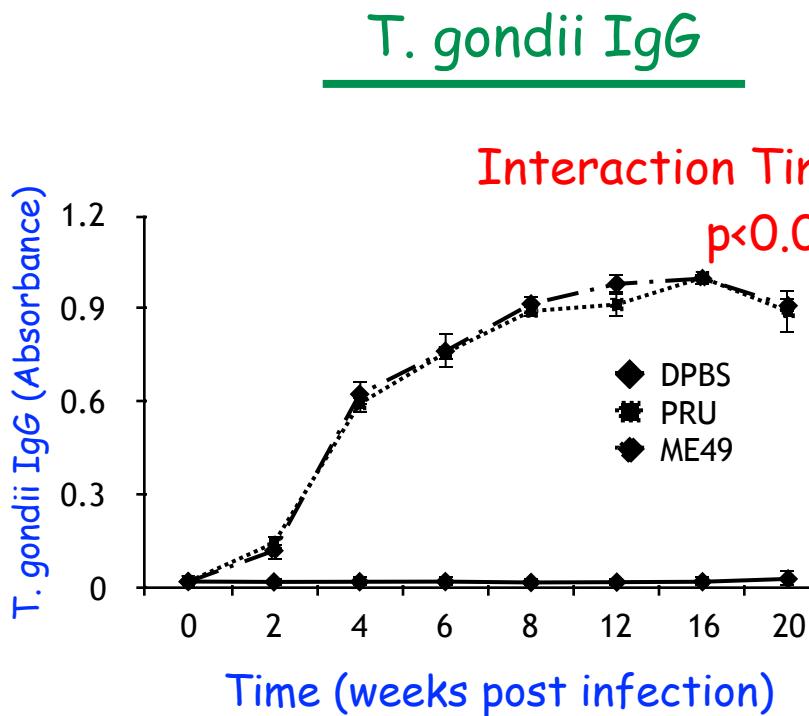


p<0.0001  
\*

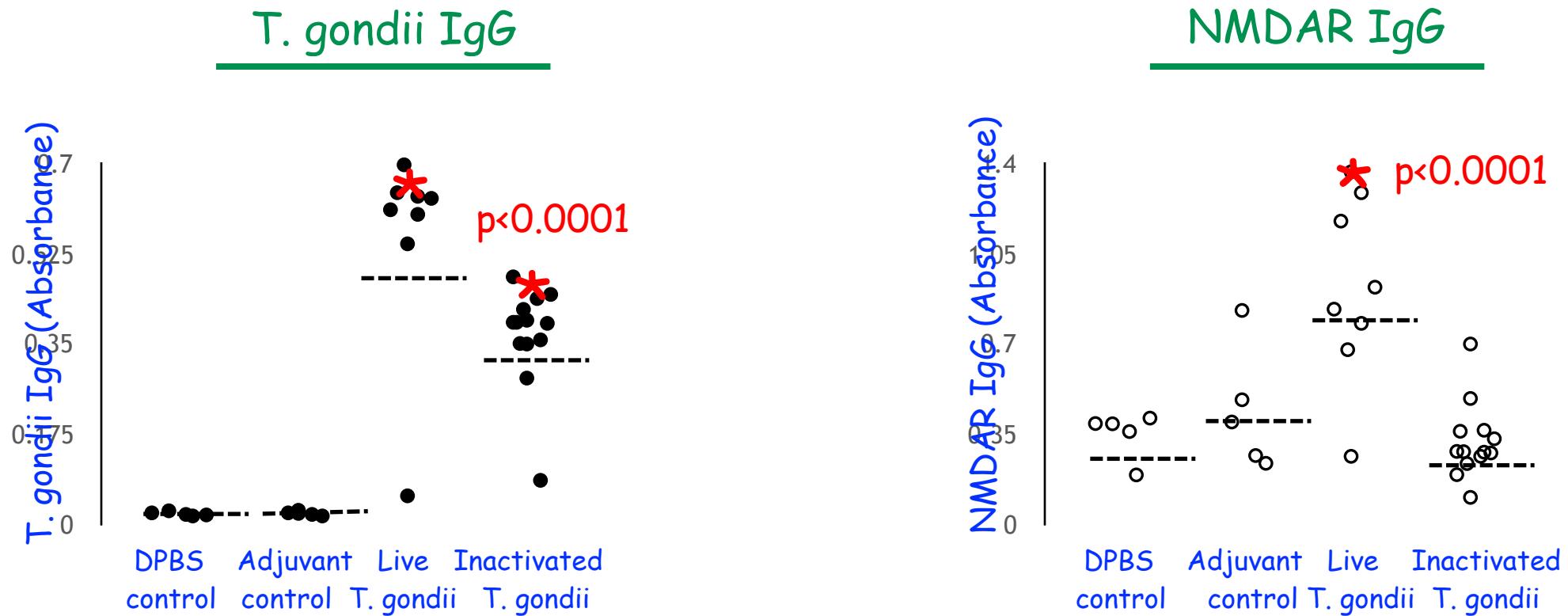


p<0.0002  
\*

# *T. gondii* infection & autoimmunity



# *T. gondii* infection, autoimmunity & cross-reactivity



## Part 2

### HUMANS

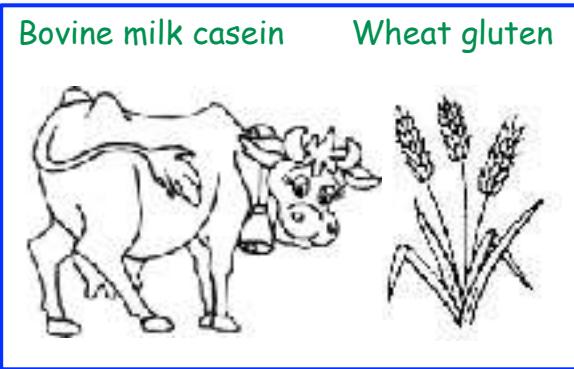
Validate mouse findings in two schizophrenia cohorts stratified by *T. gondii* seropositivity:

Cohort 1: Case-control

Cohort 2: Antipsychotic-naïve vs. medicated

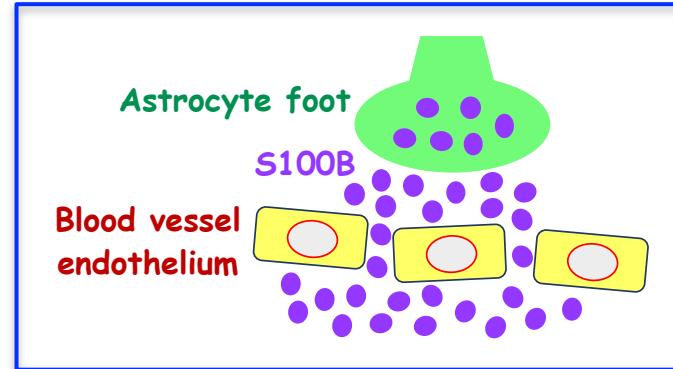
#### GI inflammation

Anti-gluten grain IgG



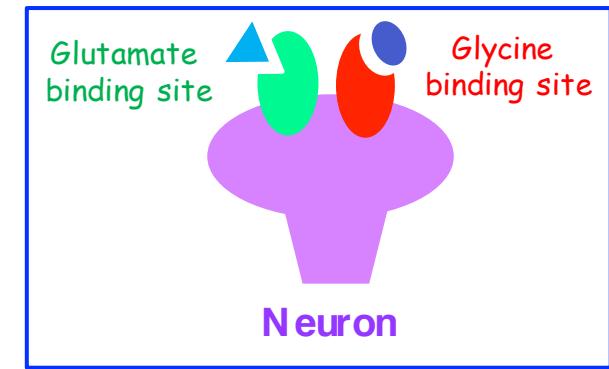
#### BBB permeability

S100B



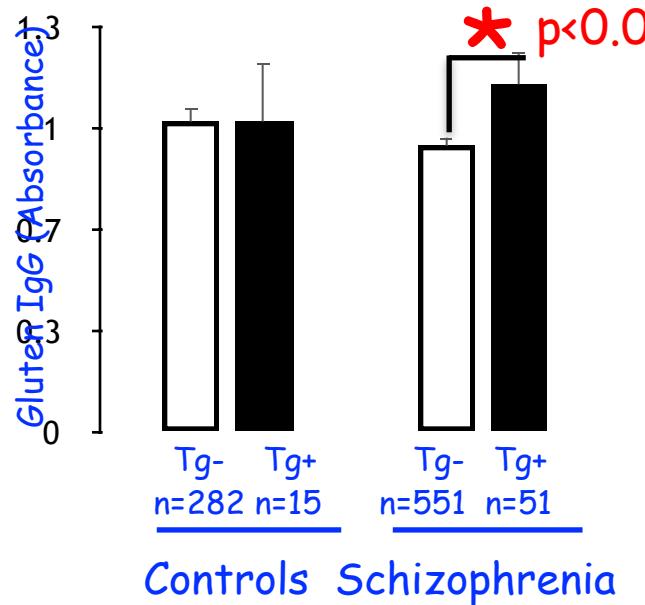
#### Autoimmunity

NMDAR IgG

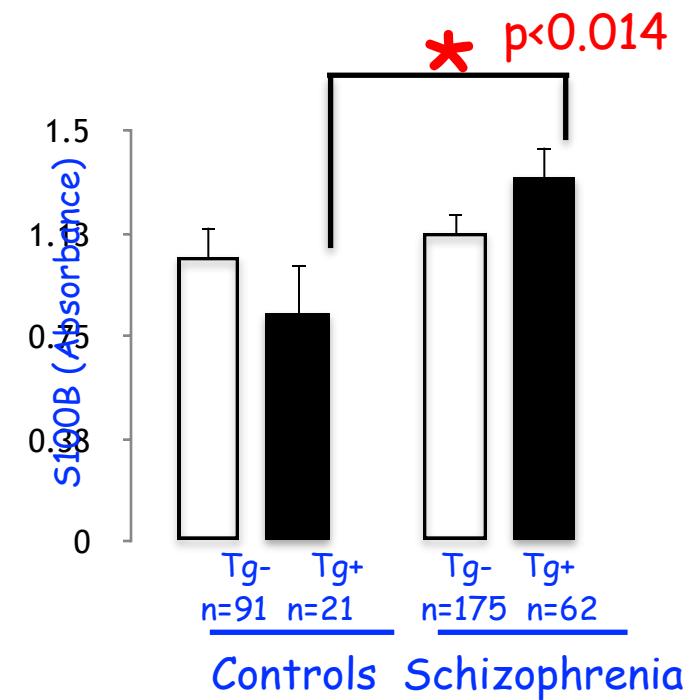


# *T. gondii* infection & blood barrier biomarkers

Gluten IgG (gut)



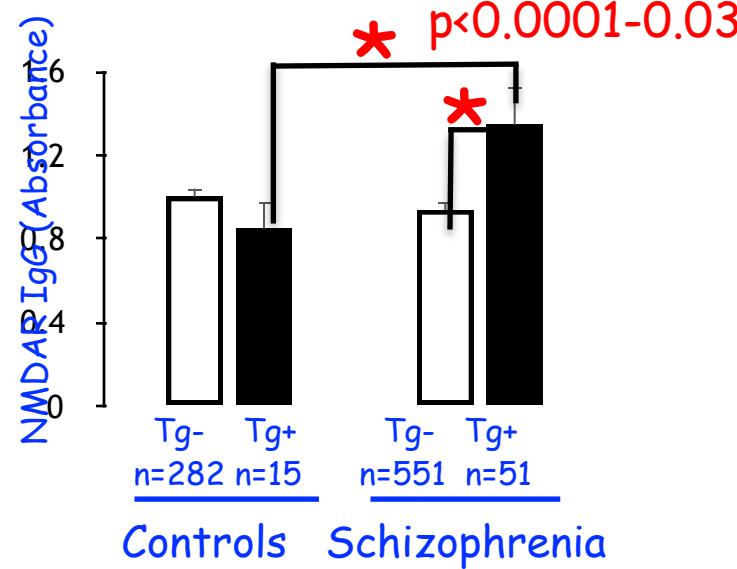
S100B (brain)



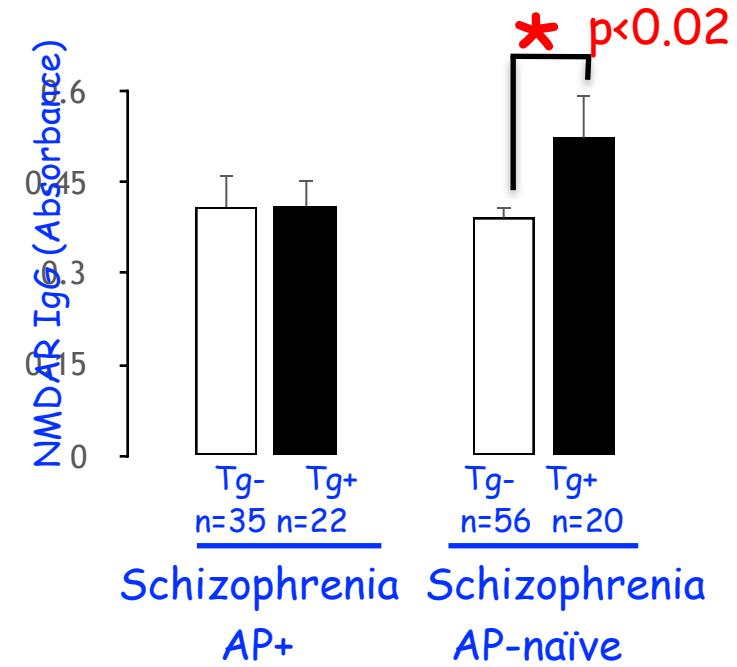
# *T. gondii* infection & autoimmunity

## NMDAR IgG

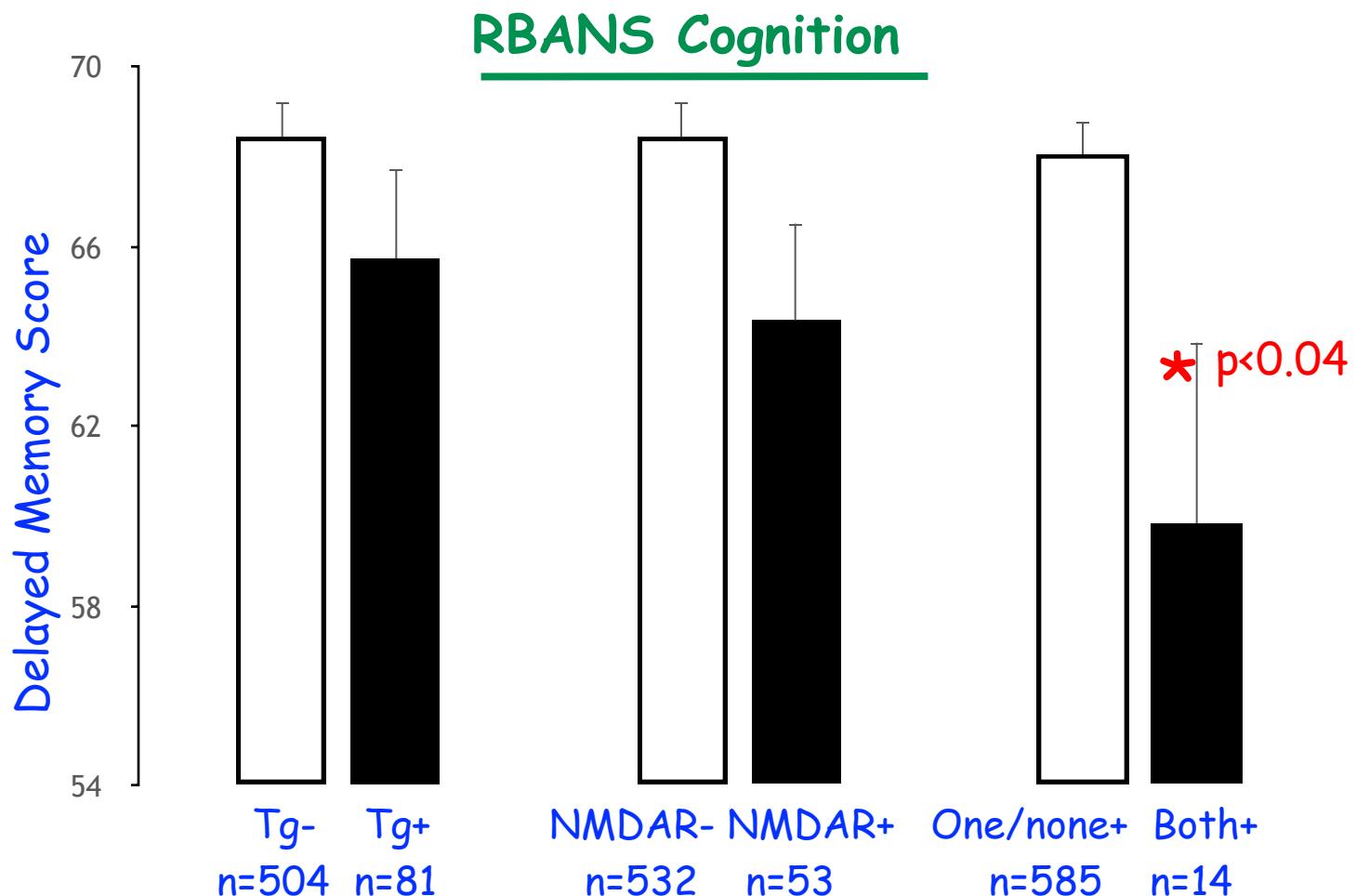
Human 1 cohort: case-control



Human 2 cohort: medication



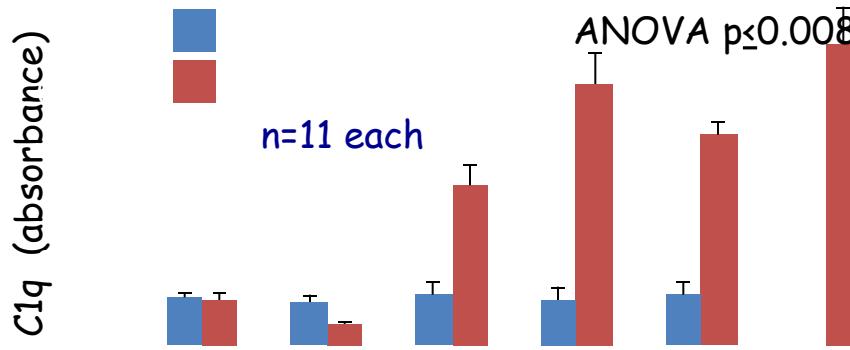
# *T. gondii & consequences of autoimmunity*



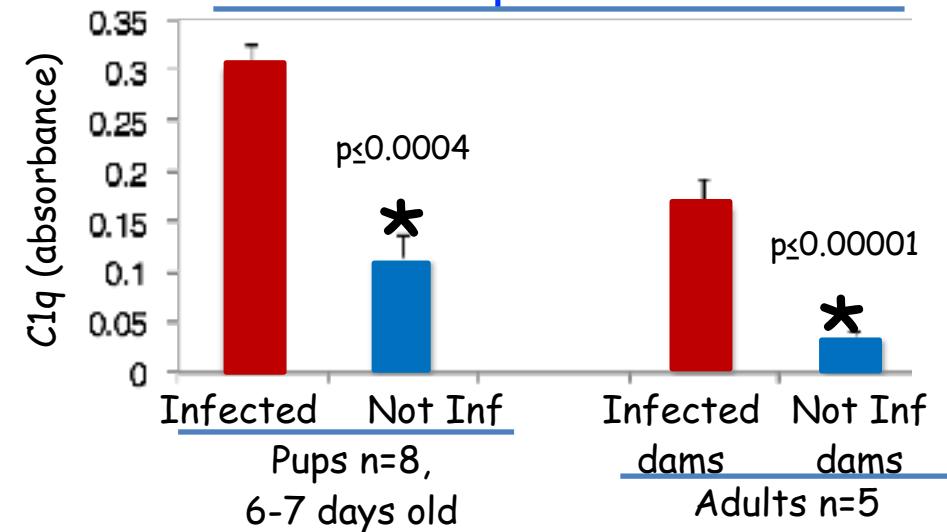
# What about complement???

(SERUM - Mice)

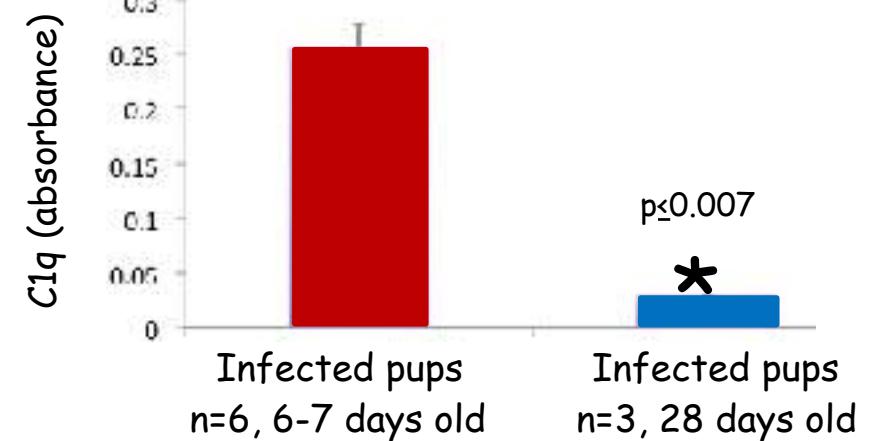
## Intraperitoneal infection (n=22)



## Prenatal exposure

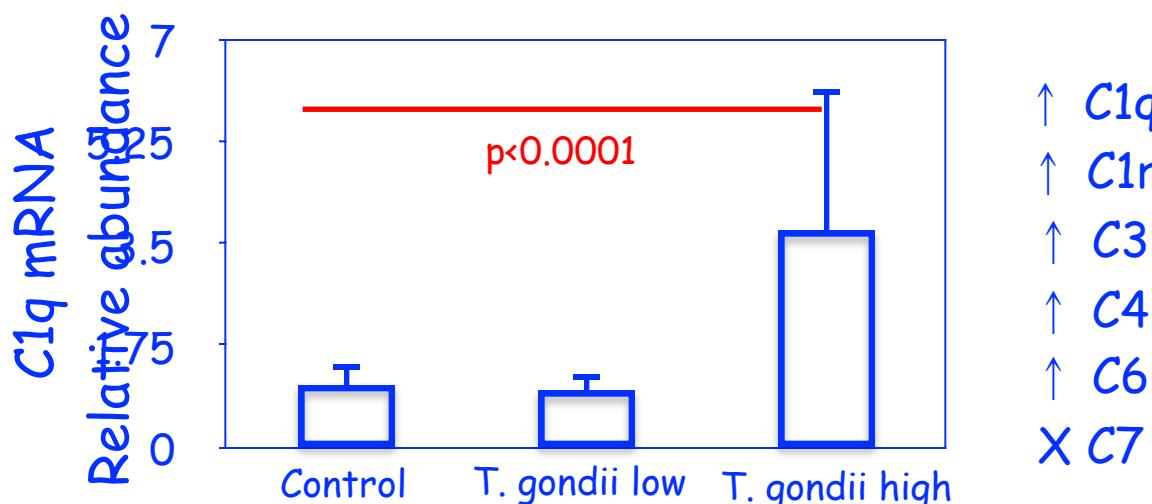


## Peroral infection (n=32)



# Complement activation in immune-challenged mice - BRAIN

Prefrontal Cortex  
mRNA



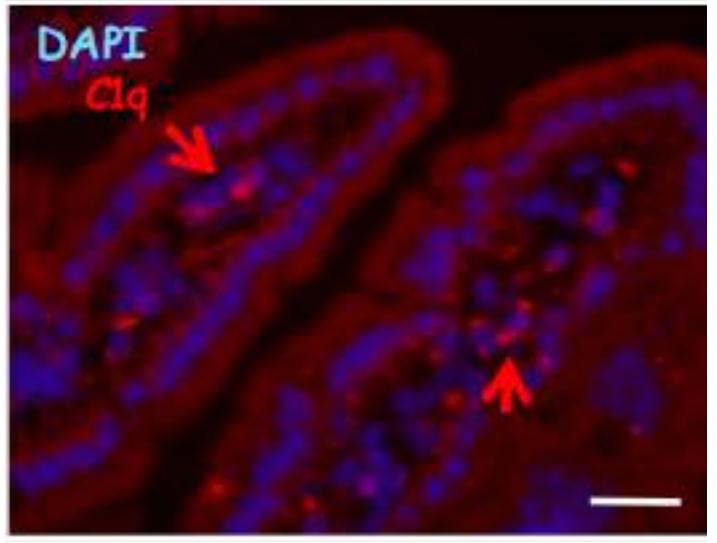
Prefrontal Cortex  
Protein



# Multisite-complement activation in immune-challenged mice

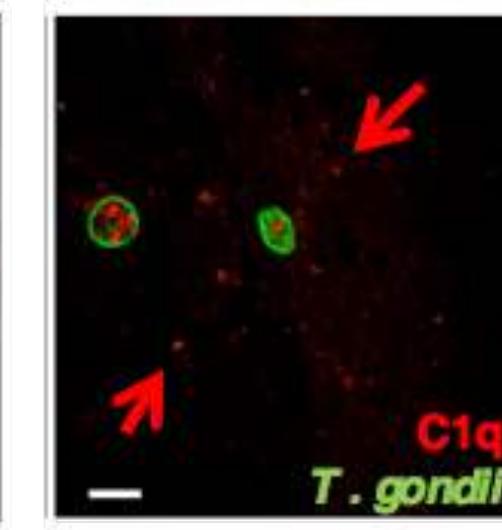
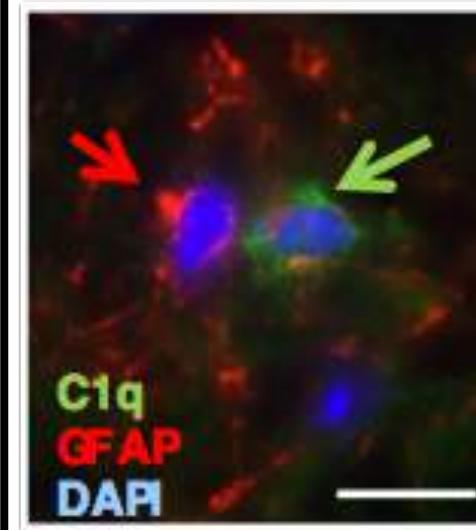
GUT

Gut C1q after infection



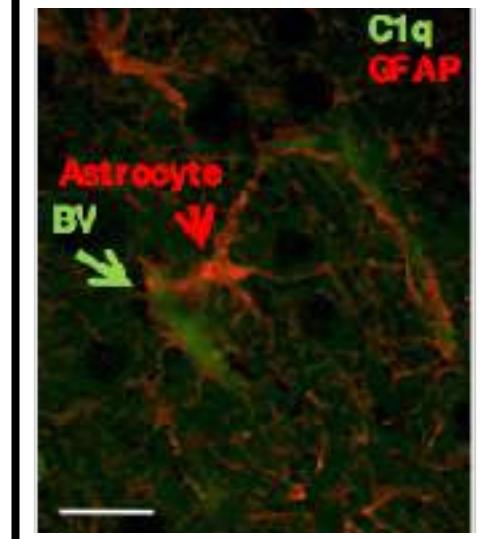
BRAIN

Brain C1q - intracellular & synaptic



BBB

Blood C1q at BBB



## Research Stories

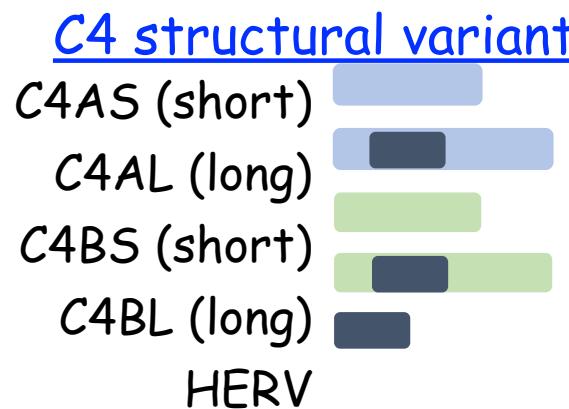
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1. Biomarkers detect elevated food antigen sensitivities, GI inflammation & complement activation in psychiatric disorders compared to controls
- 
2. Translational study of infection in mice and schizophrenia reveals unfolding of Gut-immune-brain model:
    - GI inflammation leads to loss of endothelial barrier integrity, and generation of brain-active NMDA receptor autoantibodies
    - GI inflammation leads to activation of the complement pathway peripherally and in the brain.
- 
3. Specific complement C4 genotypes predispose certain individuals with schizophrenia to a susceptibility to infections and gut dysbiosis

# What about the genes???

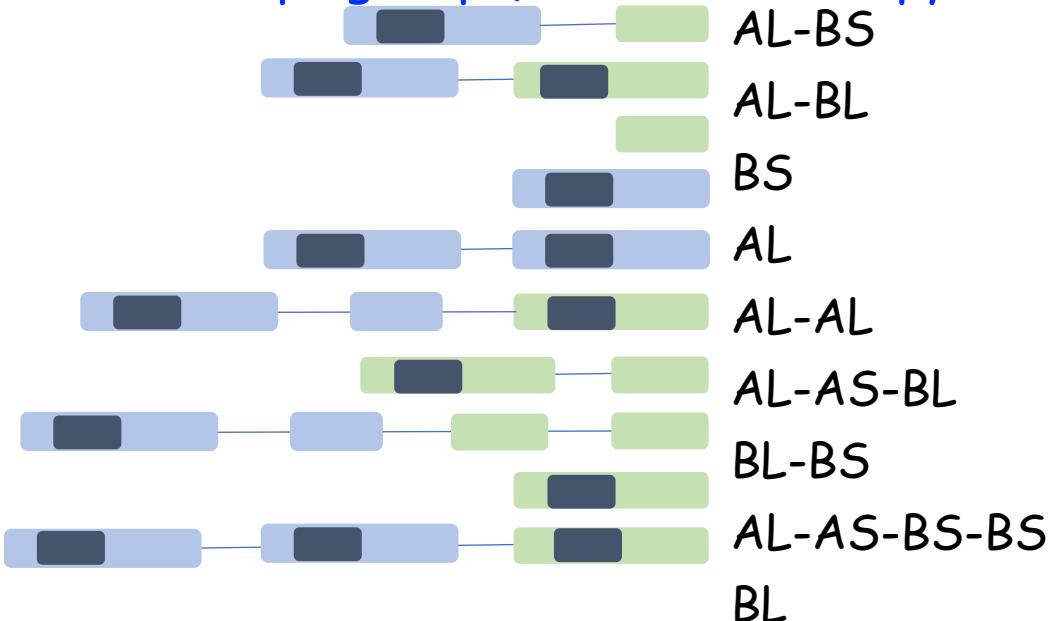
Sekar et al, Nature 2016

A



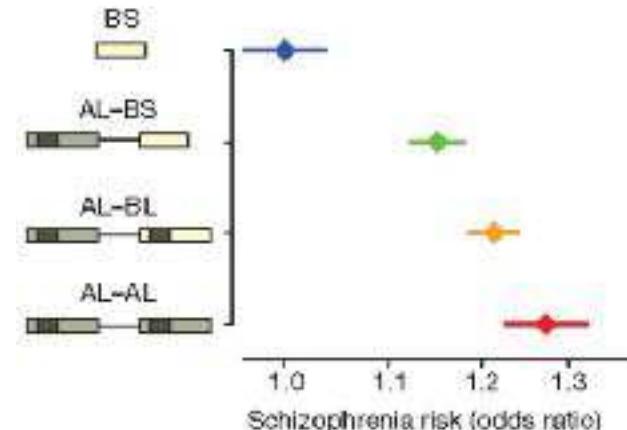
B

C4 haplogroup (structural & copy number)



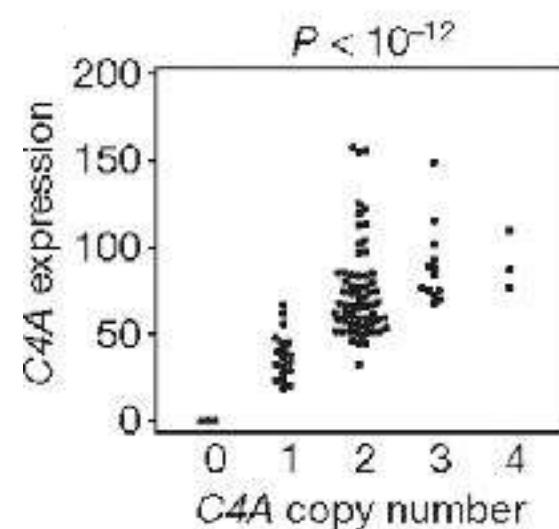
C

C4 haplogroup association with schizophrenia



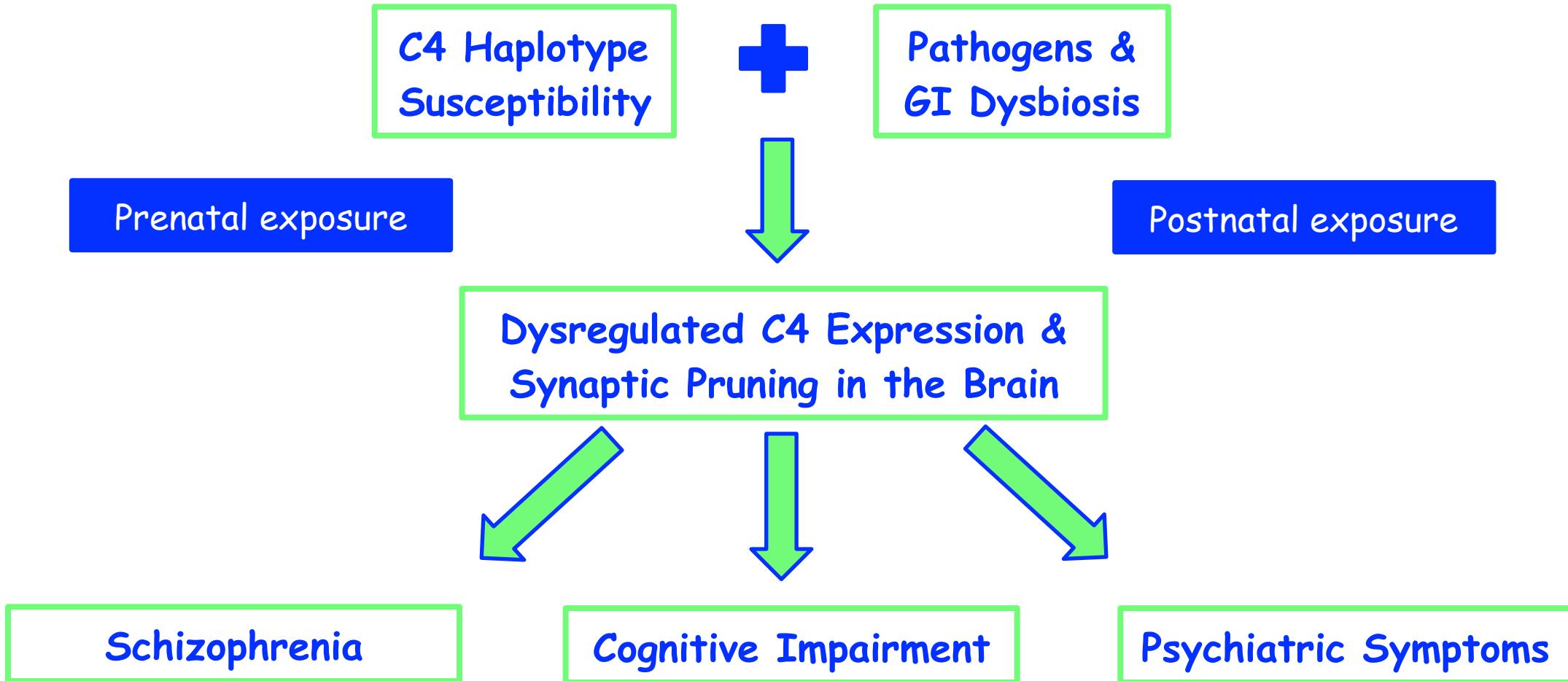
D

↑ C4 copy number = ↑ brain C4 expression



# Genes & the Environment

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# Complement C4 Gene-by-environmental study

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## Objective

To examine C4 structural & copy number polymorphisms for association with:

- Diagnosis
- Psychiatric symptoms (PANSS)
- Cognitive impairment (RBANS)
- Environmental exposures (infection & gut dysbioses)
  - *Candida albicans*
  - *Cytomegalovirus*
  - LPS-binding protein
  - *Toxoplasma gondii*

## Participants

Sheppard Pratt Health System, Towson, MD:

- n = 238 individuals with schizophrenia
- n= 140 individuals who were part of the non-psychiatric comparison group

# C4 copy number haplotype frequencies in schizophrenia & non-psychiatric comparison group



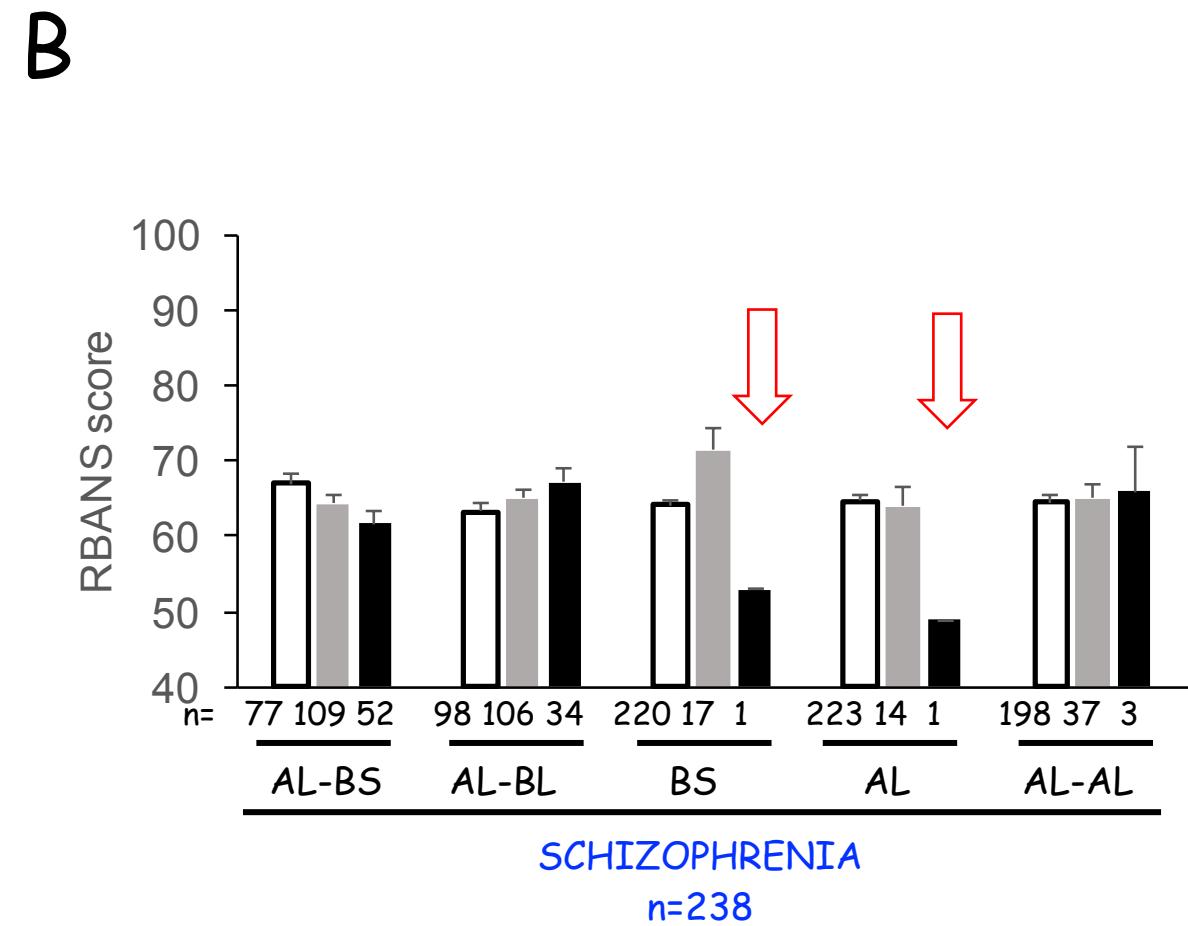
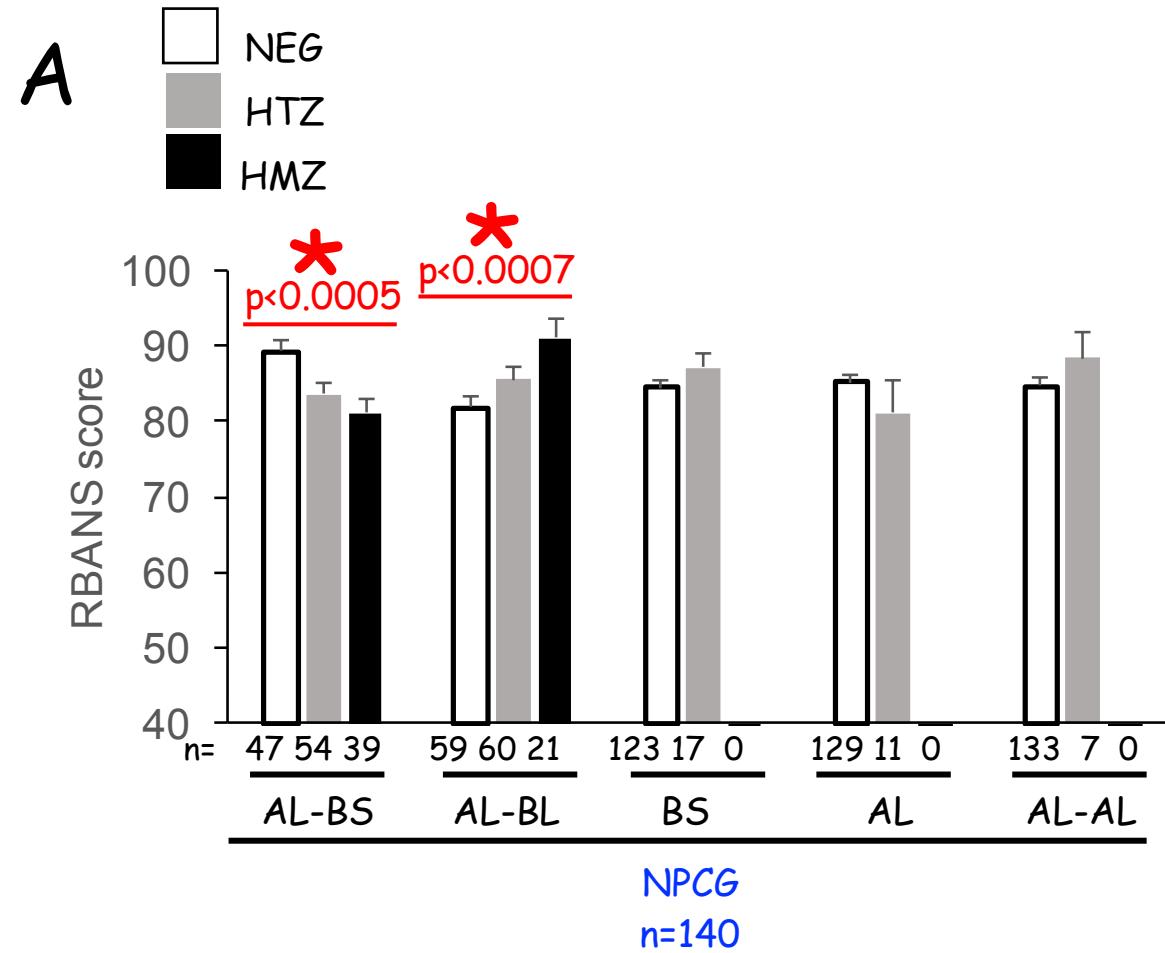
	Haplotype	NPCG (n=140)		Schizophrenia (n=238)		Chi2, p-value
		Frequency	Percent	Frequency	Percent	
	AL-BS	132	47.14%	213	44.75%	NS
	AL-BL	102	36.43%	174	36.55%	NS
	BS	17	6.07%	20	4.20%	NS
	AL	11	3.93%	16	3.36%	NS
	AL-AL	7	2.50%	43	9.03%	13.11, 0.0003
	AL-AS-BL	5	1.79%	1	0.21%	NS
	BL-BS	4	1.43%	0	0.00%	NS
	AL-AS-BS-BS	1	0.36%	1	0.21%	NS
	BL	1	0.36%	7	1.47%	NS
	AL-AL-BL	0	0.00%	1	0.21%	Severance et al., 2021

# Odds ratios for *C4* copy number & haplotype group association with schizophrenia

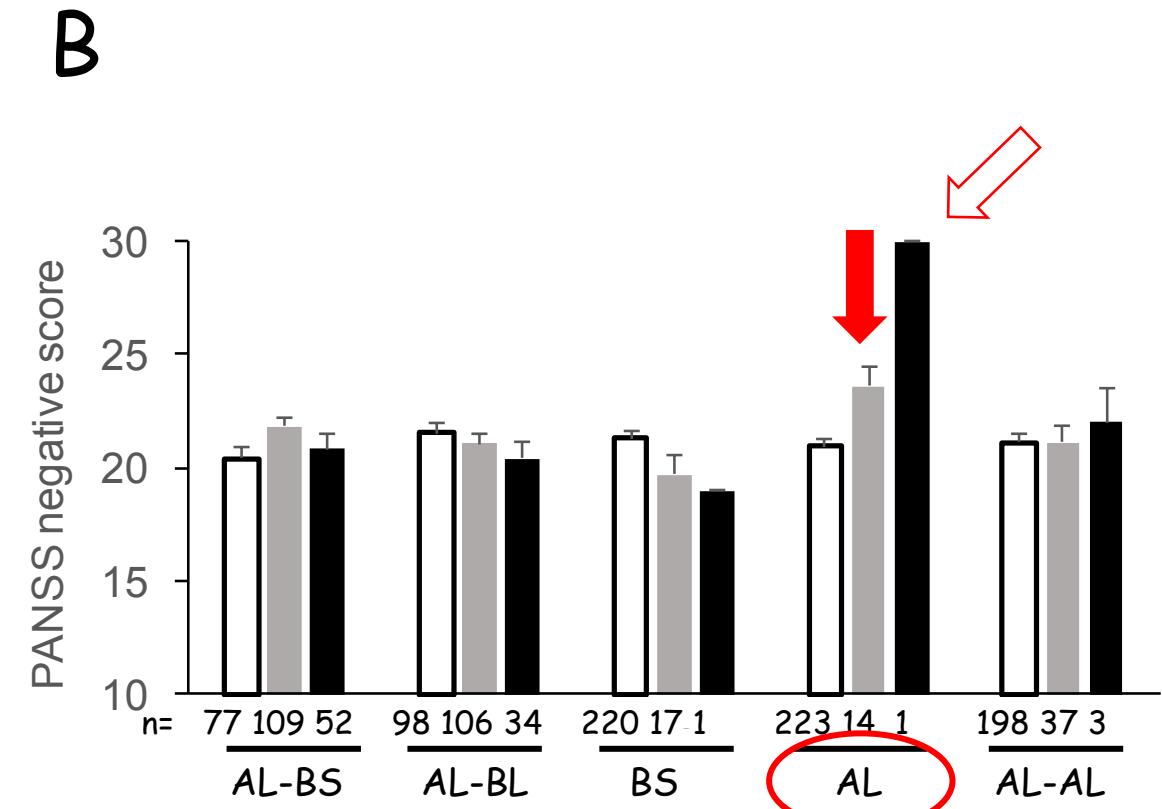
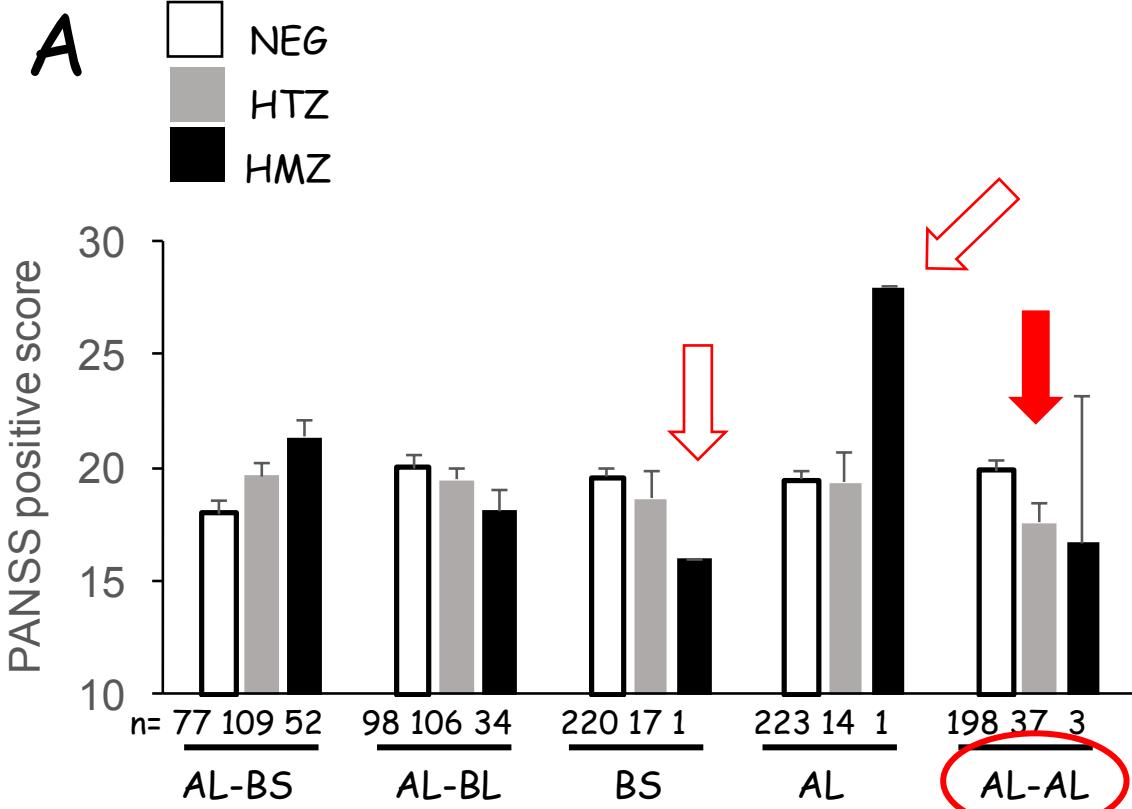
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	OR	95th%CI	p
Haplotype			
AL-BS	1.03	0.76-1.41	NS
AL-BL	0.91	0.66-1.27	NS
BS	0.49	0.24-1.02	NS
AL	0.77	0.33-1.76	NS
<b>AL-AL</b>	<b>3.53</b>	<b>1.51-8.24</b>	<b>0.0001</b>
Copy Number			
<i>C4A</i>	1.75	1.14-2.71	0.0001
<i>C4B</i>	0.47	0.26-0.83	0.0001
<i>C4S</i>	0.84	0.62-1.13	NS
Mult <i>C4L</i>	1.19	0.91-1.55	NS
			:corrected.

# Haplotype associations with cognitive (RBANS) scores in schizophrenia & controls



# Haplotype associations with psychiatric (PANSS) scores in schizophrenia



## **Pathogen & GI biomarker associations with C4 haplogroups & diagnosis**

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AL

**2.16**

NS

**1.56**

**0.20**

NS

**1.09**

NS

**0.33**

## Conclusions

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- Converging evidence points to an altered microbiome, immune dysregulation & perturbed gut-brain axis in schizophrenia & mood disorders.
- These data support a unique role of complement as a system that unites multiple risk factors for schizophrenia (inflammation, infection, dietary sensitivity, autoimmunity), participates in each venue of a gut-immune-brain pathway and is consistent with a gene by environment etiology of schizophrenia.
- Complement genotyping and serum monitoring of related biomarkers during and after pregnancy and in prodromal individuals, might be a useful screening tool to identify those who are susceptible to potentially deleterious immune activation from multiple infectious and antigenic sources.
- The current data also indicate that improving gut health and stabilizing endothelial barriers may have important consequences for the brain.

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